

Z 34454822

# Sainik Finance & Industries Limited

CIN : L26912DL1991PLC045449 Website : www.sainikfinance.com

Regd. Office : 129 Transport Centre, Rohtak Road, Punjabi Bagh, New Delhi-110035. Tel.: 011-28315036 Fax :011-28315044

E-mail : info@sainik.org, legal.secretarial@sainikmining.com

Ref.No. ....S.F.I.I./BSE - 2014-15 - 24

Dated ...10/10/2014

To

The Manager (Listing)  
BSE Limited,  
Floor 25, P.J. Towers  
Dalal Street,  
Mumbai-400001

**Sub: Submission of Compliance Report on Corporate Governance for the quarter ended on 30<sup>th</sup> September 2014**

**Ref: SAINIK FINANCE & INDUSTRIES LIMITED**

Dear Sir,

Please find enclosed Compliance Report on Corporate Governance of **Sainik Finance & Industries Limited** for the quarter ended on 30<sup>th</sup> September 2014 in accordance with clause 49 of the Listing Agreement.

You are requested to take the same on your record for the Company.

Thanking You,

Yours Sincerely,

For **Sainik Finance & Industries Limited**



(Authorized Signatory)

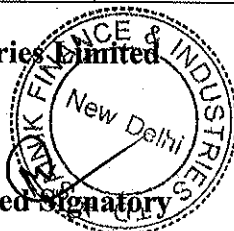
## QUARTERLY COMPLIANCE REPORT ON CORPORATE GOVERNANCE

**NAME OF THE COMPANY : SAINIK FINANCE & INDUSTRIES LIMITED**

**QUARTER ENDED ON : 30<sup>TH</sup> SEPTEMBER 2014**

Particulars	Clause of Listing agreement	Compliance Status Yes/No	Remarks
<b>I Board of Directors</b>	49 I		
(A) Composition of Board	49(IA)	Yes	
(B) Non-executive Directors' compensation and disclosures	49 (IB)	Yes	
(C) Other provisions as to Board and Committees	49 (IC)	Yes	
(D) Code of Conduct	49 (ID)	Yes	
<b>II. Audit Committee</b>	49 (II)		
(A) Qualified & Independent Audit Committee	49 (IIA)	Yes	
(B) Meeting of Audit Committee	49 (IIB)	Yes	
(C) Powers of Audit Committee	49 (IIC)	Yes	
(D) Role of Audit Committee	49 II(D)	Yes	
(E) Review of Information by Audit Committee	49 (IIE)	Yes	
<b>III. Subsidiary Companies</b>	49 (III)	N.A.	
<b>IV. Disclosures</b>	49 (IV)		
(A) Basis of related party transactions	49 (IV A)	Yes	
(B) Disclosure of Accounting Treatment	49 (IV B)	N.A.	This clause is not applicable to the Company because there is no change in the Accounting Treatment during the quarter under review.
(C) Board Disclosures	49 (IV C)	Yes	
(D) Proceeds from public issues, rights issues, preferential issues etc.	49 (IV D)	N.A.	
(E) Remuneration of Directors	49 (IV E)	Yes	Disclosure in this regard will form part of Annual Report of the Company
(F) Management	49 (IV F)	Yes	Management Discussion and Analysis Report will form part of the Annual Report of the Company
(G) Shareholders	49 (IV G)	Yes	Disclosure as per clause 49 shall form part of the Annual report of the Company.
<b>V. CEO/CFO Certification</b>	49 (V)	Yes	It will form part of the Annual Report of the Company.
<b>VI. Report on Corporate Governance</b>	49 (VI)	Yes	It will form part of the Annual report of the Company.
<b>VII. Compliance</b>	49 (VII)	Yes	It will form part of the Annual report of the Company.

For Sainik Finance & Industries Limited



Compliance Officer/Authorised Signatory